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Application Number 09/997_468

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reg to respond to a collection of into	mation unless it displays a valid OMB control number.
Application Number	09/997,468
Filing Date	November 29, 2001
First Named Inventor	G. Basawapatna
Title	FERRITE CRYSTAL
Art Unit	2817
Examiner Name	Takaoka, D. S
Attorney Docket Number	2671 00015

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Trademark Office connected therewith.	to the application recommed and a,	Q1 No 10 1	Davi dii baaninee	· III uio Gimos c.	Alco : 0.01	. ,
						
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Applicant/Inventor.						
Assignee of record of the entire into	erest. See 37 CFR 3.71.					
Statement under 37 CFR 3.73(b) is	s enclosed. (Form PTO/SB/96)					
	SIGNATURE of Applicant or As	signee of	Record			
Name Thomas Scannel	1					
Signature Manage Starting	mell					
Date 9 9 9 9 9	mac		Telephone			
1 719/05				303-327	-8030	
NOTE: Signatures of all the inventors or assigneed forms if more than one signature is required, see b	s of record of the entire interest or their roselow*.	representativ	/e(s) are required. S	submit multiple		
*Total of 1 forms are su	bmitted.					

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/96 (08-03)
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STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner. Olin Acquisition Corporation
Application No./Patent No.: 09/997,468 Filed/Issue Date: November 29, 2001
Entitled: FERRITE CRYSTAL RESONATOR COUPLING STRUCTURE
Olin Acquisition Corp. ,a <u>corporation</u> (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is: 1. Dig the assignee of the entire right, title, and interest; or
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: 1. Dit the assignee of the entire right, title, and interest; or 2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is% in the patent application/patent identified above by virtue of either: A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded.
A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR VICE TO THE PROPERTY OF THE
B. [X] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: Ganesh Basawapatna
X X Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
9 (8 (0 3 Thomas Scannel 1 Typed or printed name 0.0
303-327-3030 Novas Ramell
Telephone number Signature
<u>V.P. Finance & Administ</u> ration

This collection of information is required by 37 CFR 3.73(b). The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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PTO-1595 (2 pages in duplicate); Assignment (3 pages); Exhibit A (3 pages); PTO-2038 Form for \$440.00 (2 图 那2/ 附3:25 pages).

Assignor:

Vari-L Company, Inc. SECTION
Olin Acquisition Corporation

Assignee:

Attorney:

СРМ

Docket No:

2671.50004

Via First Class Mail on June 25, 2003

PTO-1595

RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

		• •	OILI
Tot	the Director of the U.S. Patent and Trademark Office: Please	e recc	ord the attached original documents or copy thereof.
1.	Name of conveying party(ies):	2.	
	Vari-L Company, Inc.		Name: Olin Acquisition Corporation
	·		Internal Address:
	Additional names(s) of conveying party(ies) attached? No		
3.	Nature of conveyance:	7	Street Address: 522 Almanor Avenue
	☐ Assignment ☐ Merger		
	☐ Security Agreement ☐ Change of Name		City: Sunnyvale State: CA ZIP: 94085
	□ Other:		<u> </u>
	Execution Date: May 5, 2003		Additional name(s) & address(es) attached? No
4.	Application number(s) or patent number(s):	·	* C -
	If this document is being filed together with a new application	n, the	execution date of the application is:
	A. Patent Application No(s).		B. Patent No(s).
	09/997,468		4,621,241
			B. Patent No(s). 4,621,241 5,903,431
			5,675,478
	Additional numbers at	tache	2
5.	Name and address of party to whom correspondence concerning document should be mailed:	6.	Total number of applications and patents involved: 11
	Name: CHRISTOPHER P. MAIORANA, P.C.	7.	Total fee (37 CFR 3.41) \$440.00
	Internal Address:		⊠ Enclosed
	Street Address: 24025 GREATER MACK, SUITE 200		
	City: ST. CLAIR SHORES State: MI ZIP:48080		Authorized to be charged to deposit account if necessary
		8.	Deposit Account Number: 50-0541
			(Attach duplicate copy of this page if paying by deposit account)
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7	Statement and signature. To the best of my knowledge and belief, the foregoing information of the original document.	ation	is true and correct and any attached copy is a true copy of
<u>C</u>	Christopher P. Maiorana Reg. No.: 42,829 Signature		June 25, 2003 Date
	Total\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	umber	of pages including cover sheet, attachments, and document: 10

5,619,173

5,742,213

5,867,069

5,982,243

5,856,769

5,999,061

6,163,228

ASSIGNMENT OF PATENTS AND PATENT APPLICATIONS

WHEREAS, Vari-L Company, Inc., a Colorado corporation having its principal offices at 4895 Peoria Street, Denver, Colorado 80239 (hereinafter "Assignor") and Olin Acquisition Corporation, a Delaware corporation with principal offices at 522 Almanor Avenue, Sunnyvale, California 94085 (hereinafter "Assignee") are parties to that certain Asset Purchase Agreement, effective as of December 2, 2002 (the "Agreement"), pursuant to which Assignor agreed to sell, convey, transfer, assign and deliver to Assignee all of Assignor's right, title and interest in and to all Assignor's Patents (as defined in the Agreement) included in the Transferred Intellectual Property (as defined in the Agreement).

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor does hereby sell, assign and transfer and agrees to sell, assign and transfer unto Assignee or its designees, all of Assignor's right, title and interest in and to the patents and patent applications as set forth on Exhibit A attached hereto, including any reissues, continuations, continuations-in-part, divisionals, and substitutions thereof, and in all foreign counterparts of the foregoing patents and patent applications filed or issued in foreign countries (collectively, "Patent Rights"), as to which Assignor agrees to furnish and to execute on a country-by-country basis specific Assignments as requested by Assignee or any such designee.

Assignor further sells, assigns, transfers and conveys unto Assignee the entire right, title and interest in and to any and all causes of action and rights or recovery for past infringement of the Patent Rights.

Assignor further agrees that Assignor will, without demanding any further consideration therefor, at the reasonable request and at the expense of the Assignee, perform such acts and execute and acknowledge such instruments and other papers, that may be or become necessary for Assignor, its successors, assigns or their respective legal representatives to do any of the following: (a) prosecute any patent applications relating to said Patent Rights, and (b) sustain and obtain continuations, continuations-in-part, divisionals, and substitutions thereof, or reissue any related United States patent or foreign counterparts, and (c) maintain and perfect the Assignee's right to said Patent Rights, particularly in cases of interference or other priority proceedings, reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, litigation, and other court actions.

Assignor also hereby authorizes the Commissioner of Patents to issue any and all patents that may be granted upon any of the patent applications included in the Patent Rights to Assignee, as the assignee to the entire interest therein.

The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns, and other legal representatives, and shall be binding upon the Assignor, its successors, assigns and other legal representatives.

VARI-L COMPANY, INC.

Name: (HARLES &

Title: (100)

ATTEST:

Ву: _____

Title:

) 55	State of Colorado	٠.
County of DEALER	County of DENVER) ss.

On this 5th Mac/03, before me the undersigned, a Notary Public in and for the County and State aforesaid, personally appeared have been expected by the person whose name is subscribed to within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on such instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS, my hand and official seal.

Kot no (windset)
Signature

My commission expires:

My Commission Expires

Exhibit A

PATENTS

<u>Title</u>	Date Issued	Country	Patent No.
Wide Range Electronic Oscillator	November 4, 1986	USA	4,621,241
Wide Range Electronic Oscillator*		Singapore	95 90657-4
Wide Range Electronic Oscillator*		Hong Kong	821/1995
Wide Range Electronic Oscillator		Canada	1,267,941
Wide Range Electronic Oscillator*		United Kingdom	0,207,650
Wide Range Electronic Oscillator*		Austria	0,207,650
Wide Range Electronic Oscillator*		Sweden	86304343
Wide Range Electronic Oscillator*		Belgium	0,207,650
Wide Range Electronic Oscillator*		Italy	0,207,650
Wide Range Electronic Oscillator*		France	0,207,650
Wide Range Electronic Oscillator*		Germany	0,207,650
Wide Range Electronic Oscillator*		Netherlands	0,207,650
Wide Range Electronic Oscillator*		Switzerland	0,207,650
Multiple Single Layer Monolithic Passive Integrated Circuits and Methods	M 11, 1000	-	0,207,030
Oscillator Voltage Regulator	May 11, 1999	USA	5,903,431
Oscillator Voltage Regulator*	October 7, 1997	USA	5,675,478
Oscillator Voltage Regulator*		Eurasian	199900014
Oscillator Voltage Regulator*		EPC App. No.	97924617.0
Oscillator Voltage Regulator*		Australia	29998/97
Oscillator Voltage Regulator		Norway	19990202
Oscillator Voltage Regulator*		China	97196418.1
High Impedance Ratio Wideband		Canada	2,259,662
Transformer Circuit	April 8, 1997	USA	5,619,172
High Impedance Ratio Wideband Transformer Circuit*		A	
High Impedance Ratio Wideband Transformer Circuit*		Australia	704537
High Impedance Ratio Wideband		Canada	2,231,832
Transformer Circuit*		EPC	96930789.1
High Impedance Ratio Wideband Transformer Circuit*		Brazil	PI9610500-3
High Impedance Ratio Wideband Transformer Circuit		China	96197553.9
High Impedance Ratio Wideband Transformer Circuit*			
		Norway	19981086

196503 v2/CO 47mf02!.DOC

^{*} Denotes a patent for which, as of the Closing Date, certain maintenance payments may be unpaid and overdue, which Vari-L will not pay, make provision for or be liable for, as per agreement between Vari-L, Olin and Sirenza.

PATENT APPLICATIONS

<u>Title</u>

Application Date

Application No.

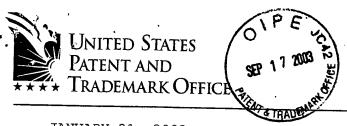
Ferrite Crystal Resonator Coupling Structure

11/29/01

09/997,468

USPTO Pat#	Title	Patent Issued Date	Country	Abstract
4,621,241	Wide Range Electronic Oscillator	11/4/86	U.S.	Broadband Oscillator Technique
5,619,172	High Impedance Ratio Wideband Transformer Circuit	4/8/97	U.S.	Fiber Optic to Cable converter circuit
5,675,478	Oscillator Voltage Regulator	10/7/97	U.S.	Low Voltage Oscillator Method
5,742,213	Unbalanced to Balanced High Impedance Ratio Wideband Transformer Circuit	4/21/98	U.S.	Fiber Optic to Cable converter circuit
5,856,769	Continuously Adjustable Resonator	1/5/99	U.S.	Low cost 5 GHz Oscillator method
5,867,069	Orthogonally Mounted Substrate Based Resonators	2/2/99	U.S.	Low cost 5 GHz Oscillator method
5,903,431	Multiple Single Layer Monolithic Passive Integrated Circuits and Method	5/11/99	U.S.	Resistor and Capacitor Circuit Array
5,982,243	Oscillator Selectively Operable with a Parallel Tuned or a Series Tuned Resonant Circuit(Switched Mode Oscillator)	11/9/99	U.S.	Multiband Oscillator circuit
5,999,061	First and Second Oscillator Circuits Selectively Coupled Through Passive Output Circuit to a Load (Passive Switched Oscl.Output Circuit)	12/7/99		Multiband Oscillator circuit
6,163,228	Oscillator with Power Conservation Mode	12/19/00	U.S.	Sleep mode circuit for oscillators

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JANUARY 21, 2003

PTAS

Chief Information Officer Washington, DC 20231 www.uspto.gov

COOLEY GODWARD LLP JAMES P. BROGAN ONE FREEDOM SQUARE 11951 FREEDOM DRIVE RESTON, VA 20190-5601



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RECORDATION DATE: 10/07/2002

REEL/FRAME: 013368/0930

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BASAWAPATNA. GANESH

DOC DATE: 10/07/2002

ASSIGNOR:

BASAWAPATNA, VARALAKSHMI

DOC DATE: 10/07/2002

ASSIGNEE:

VARI-L COMPANY, INC. 4895 PEORIA STREET DENVER, COLORADO 80239

SERIAL NUMBER: 09997468

PATENT NUMBER:

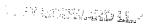
FILING DATE: 11/29/2001

ISSUE DATE:

JEFFREY OLSEN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS







10-15-2002 FORM PTO-1619A U.S. Department of Commerce Expires 06/30/99 Patent and Trademark Office OMB 0651-0027 **PATENT** OCI O 1 2002 102249334 RECORDATION FORM COVER SHEET PATENTS ONLY TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) Submission Type Conveyance Type X New **Assignment** Ш **Security Agreement** Resubmission (Non-Recordation) Document ID# License **Change of Name** Correction of PTO Error Merger Reel# Other Frame # **Corrective Document** U.S. Government For Use ONLY by U.S. Government Agencies Reel# Frame # Departmental File Secret File Conveying Party(ies) П Mark if additional names of conveying parties attached **Execution Date** Month Day Name (line 1) Varalakshmi Basawapatna 10/07/2002 Name (line 2) Second Party **Execution Date** Name (line 1) Ganesh Basawapatna Month Day Year 10/07/2002 Name (line 2) **Receiving Party** Mark if additional names of receiving parties attached Name (line 1) Vari-L Company, Inc. If document to be recorded is an assignment and the Name (line 2) receiving party is not domiciled in the United Address (line 1) 4895 Peoria Street States, an appointment of a domestic representative is attached. Address (line 2) (Designation must be a separate document from Assignment.) Address (line 3) Denver CO 80239 State/Country **Domestic Representative Name and Address** Enter for the first Receiving Party only. Name Address (line 1) Address (line 2)

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October 7, 2002

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Page 2

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Address (line 1) One Freedom Square	-]
Address (line 2) Reston Town Center	,]
Address (line 3) 11951 Freedom Drive] T
Address (line 4) Reston, Virginia 20190-5601]]
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including any attachments. # 3]
Application Number(s)	
Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).	
Patent Application Number(s) Patent Number(s)	
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only if a U.S. Application Number	
has not been assigned. PCT PCT PCT	
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Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00	
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Deposit Account [X] (Enter for payment by deposit account or if additional fees can be charged to the account.)	
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Deposit Account Number: # 50-1283	
Authorization to charge additional fees: Yes [X] No []	
Statement and Signature	
To the best of my knowledge and belief, the foregoing information is true and correct and any	
attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.	
James P. Brogan	
Name of Person Signing	
Name of Person Signing Signature Date	

Rev. 6/14/2002

Attorney Docket No: VARI-001/00US Prior Docket No. A34033-073214.0110

PATENT

ASSIGNMENT (Joint)

Varalakshmi Basawapatna, residing at 5157 South Boston Street, Greenwood Village, CO 80111, and Ganesh Basawapatna, residing at 5157 South Boston Street, Greenwood Village, CO 80111 (each referred to as "Assignor") have made an invention (the "Invention") set forth in an application for patent of the United States, entitled

FERRITE CRYSTAL RESONATOR COUPLING STRUCTURE,

and which is a:

(1)] provisional application	
	(a) [] to be filed herewith; or	
	(b) [] bearing Application No. , and filed on ; or	
(2)	x] non-provisional application	
	(a) [] to be filed herewith; or	
	(b) [x] bearing Application No. 09/997,468, and filed on November 29, 2001	

WHEREAS, VARI-L COMPANY, INC., a corporation duly organized under and pursuant to the laws of Colorado, and having its principal place of business at 4895 Peoria Street, Denver, CO 80239 (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention; the application for patent identified in paragraph (1) or (2); the right to file applications for patent of the United States or other countries on the Invention; any application for patent of the United States or other countries claiming priority to these application; any provisional or other right to recover damages, including royalties, for prior infringements of these applications; and any patent of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and in consideration of the purchase of certain assets of Asvan Technology, LLC, of which Varalakshmi Basawapatna is a member, by Vari-L Company, Inc. as evidenced by a letter agreement dated January 25, 2002 (the "Letter Agreement"), and in furtherance of and subject to the terms of the Letter Agreement, Assignor by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention;
- (b) the application for patent identified in paragraph (1) or (2);
- (c) the right to file applications for patent of the United States or other countries on the Invention, including all rights under the Paris Convention for the Protection of Industrial Property and under the Patent Cooperation Treaty;
 - (d) any application for patent of the United States or other countries claiming the Invention;
- (e) any application for patent of the United States or other countries claiming priority to the application for patent identified in paragraph (1) or (2) or any application for patent claiming the Invention, including any division, continuation, and continuation-in-part; and

184445 v1/BD 3YBH011.DOC Rev. 06/14/2002

Attorney Docket No. VARI-001/00US

Page 2

- (f) any provisional or other right to recover damages, including royalties, for prior infringements of any application for patent identified in the proceeding paragraphs (b)-(e); and
- (g) any patent of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b) (e), including any reissue and extension of said patent.

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made subject to the payment and other obligations of Assignee and its successors, legal representatives and assigns as set forth in the Letter Agreement.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date: 0847, 2002	Ву:	Varalakshmi Basawapatna
State of ('Olorado ss.		
County of De-ver)		
be the person whose name is subscribed to t	on to me or proved the within instrume t by her signature o	, personally appeared to me on the basis of satisfactory evidence, to ent and acknowledged to me that she executed on the instrument the person, or the entity upon
WITNESS my hand and official seal. Signature of Notary Public	My Commission Expires 03/18/2006	

184445 v1/BD 3YBH01!.DOC , Rev. 06/14/2002

Attorney Docket No. VARI-001/00US

Page 3

Date: 10 - 7 - 210-2	Ву:	Ganesh Basawapatna
State of ('6(6)6.d'0)		
County of Server		
the person whose name is subscribed to the	to me or proved to me he within instrument a by his signature on t	, personally appeared e on the basis of satisfactory evidence, to be and acknowledged to me that he executed the he instrument the person, or the entity upon
WITNESS my hand and official seal.	My Commission Expires 03/18/2006	
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